



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michel BRUN et al.
Title: HEATING ASSEMBLY COMPRISING A PTC
ELEMENT, IN PARTICULAR FOR A MOTOR VEHICLE
Appl. No.: 10/574,543
International Filing Date: 9/15/2004
371(c) Date: 08/15/06
Examiner: Daniel Leon Robinson
Art Unit: 3742
Confirmation Number: 4051

TERMINAL DISCLAIMER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Your Petitioner, BEHR FRANCE ROUFFACH SAS, having its principal place of business at 5, Avenue de la Gare, 68250 Rouffach, France, represents that it is the owner of the entire right, title, and interest in and to U.S. Patent Application Serial No. 10/574,543, filed August 15, 2006, which is a national stage of International Application No. PCT/EP2004/010328, filed September 15, 2004, by virtue of an Assignment filed and recorded on August 15, 2006, on Reel/Frame 018126/0153, in the United States Patent and Trademark Office, a copy of which is attached hereto as APPENDIX A. Further, your Petitioner represents that it is the owner of U.S. Patent No. 7,399,948, which issued on U.S. Patent Application No. 10/959,608, filed October 7, 2004, by virtue of an Assignment filed and recorded on February 3, 2005, on Reel/Frame 016228/0188, and a Name Change filed on July 14, 2009 and recorded on Reel/Frame 022951/0293, and a Name Change filed on July

22, 2009 and recorded on Reel/Frame 022980/0776 in the United States Patent and Trademark Office, copies of which are attached hereto as APPENDIX B.

Your Petitioner, BEHR FRANCE ROUFFACH SAS, hereby disclaims the terminal part of the term of any patent granted on the above identified patent application which would extend beyond the full statutory term, as shortened by any terminal disclaimer, of U.S. Patent 7,399,948, and hereby agrees that any patent so granted on the above identified patent application shall be enforceable only for and during such period that the legal title to U.S. Patent 7,399,948 shall be the same as the legal title to any patent granted on the above identified patent application, this agreement to run with any patent granted on the above identified patent application and to be binding upon the grantee, its successors or assigns.

In making the above disclaimer, Petitioner does not disclaim any terminal part of any patent granted on the above identified patent application, prior to the full statutory term of U.S. Patent 7,399,948 as defined in 35 U.S.C. §§154-156 and 173, in the event that U.S. Patent 7,399,948 expires for failure to pay a maintenance fee, is held unenforceable or is found invalid in a final judgment by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR §1.321(a), has all claims canceled by a reexamination certificate or as a result of an interference proceeding, or is otherwise not deemed to provide the rights conveyed by 35 USC §154, prior to the full statutory term of U.S. Patent 7,399,948 as defined in 35 USC §§154-156 and 173, except for the separation of legal title stated above. Further, Petitioner does not disclaim any terminal part of a patent granted on the above identified patent application that would extend beyond the present termination of U.S. Patent 7,399,948, in the event that such present term is extended by virtue of compliance with the conditions for term extension of any present or future patent term extension provisions of the patent law, including but not limited to 35 U.S.C. §§155, 155A or 156, and without waiving Petitioner's right to extend the term of a patent granted on the above identified patent application to the extent provided by law.

The undersigned, being the Attorney of Record for the above identified patent application, and duly authorized to act on behalf of Petitioner, certifies that he has reviewed the Assignments attached as APPENDICES A and B, and to the best of his knowledge and

belief, legal title to the above identified patent application and U.S. Patent 7,399,948 rests with Petitioners, BEHR FRANCE ROUFFACH SAS. The undersigned declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the above-identified application or any patent issuing therefrom.

Respectfully submitted,

Date 7/23/09

By P.D.S.

FOLEY & LARDNER LLP
Customer Number: 22428
Telephone: (202) 672-5540
Facsimile: (202) 672-5399

Paul D. Strain
Attorney for Applicant
Registration No. 47,369

APPENDIX A

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 17, 2006

PTAS

700281777A***700281777A***

RICHARD L. SCHWAAB
FOLEY & LARDNER LLP
WASHINGTON HARBOUR
3000 K STREET NW, SUITE 500
WASHINGTON, D.C. 20007-5143

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 08/15/2006

REEL/FRAME: 018126/0153
NUMBER OF PAGES: 3BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 016906-0483

ASSIGNOR:

BRUN, MICHEL

DOC DATE: 05/23/2006

ASSIGNOR:

SCHMITTHEISLER, CHRISTOPHE

DOC DATE: 05/23/2006

ASSIGNOR:

MISS, PASCAL

DOC DATE: 05/23/2006

ASSIGNOR:

MUNDEL, MAXIME

DOC DATE: 05/25/2006

ASSIGNOR:

MOUGEY, MATHIEU

DOC DATE: 05/23/2006

RightFax

8/1/06 8:30

PAGE 003/004

Fax Server

018126/0153 PAGE 2

ASSIGNEE:

BEHR FRANCE ROUFFACH SAS
5, AVENUE DE LA GARE
68250 ROUFFACH, FRANCE

SERIAL NUMBER: 10574543

FILING DATE:

PATENT NUMBER:


ISSUE DATE:

TITLE: HEATING ASSEMBLY COMPRISING A PTC ELEMENT IN PARTICULAR FOR A MOTOR
VEHICLE

LAZENA MARTIN, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

001/10/2006
700281777

Atty. Dkt. No. 016806-0483

FORM PTO-1685 (modified) (Rev. 6-02)		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	
RECORDATION FORM COVER SHEET PATENTS ONLY			
To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copies thereof.			
1. Name of conveying party(ies): Michel BRUN (May 23, 2006) Christophe SCHMITTHEISLER (May 23, 2006) Pascal MISS (May 23, 2006) Maxime MUNDEL (May 25, 2006) Mathieu MOUGEY (May 23, 2006) Additional conveying party(ies) NO		2. Name and address of receiving party(ies): BEHR FRANCE ROUFFACH SAS 5, Avenue de la Gare 68250 Rouffach France	
3. Nature of conveyance: ASSIGNMENT Execution Date: See Dates Above		Additional name(s) & address(es) attached? NO	
4. Application number(s) or patent number(s): If this is being filed together with a new application, the execution date of the application is: A. Patent Application Number(s): 10/574,543 B. Patent Number(s): Additional numbers attached? NO			
5. Name and address of party to whom correspondence concerning document should be mailed: Richard L. Schwaab FOLEY & LARDNER LLP Washington Harbour 3000 K Street NW, Suite 500 Washington, D.C. 20007-5143		6. Total number of applications/patents involved 1 7. Total fee (37 C.F.R. § 3.41): \$40.00 Check Enclosed <input checked="" type="checkbox"/> Authorized to be charged to credit card <input type="checkbox"/> Authorized to be charged to deposit account	
		8. Payment Information a. Credit Card Last 4 Numbers 6242 Expiration Date 11/30/2008 b. Deposit account number 19-0741 Authorized User Name	
DO NOT USE THIS SPACE			
9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. The Commissioner is hereby authorized to charge any additional recordation fees which may be required in this matter to the above-identified deposit account. Richard L. Schwaab  August 16, 2006 Name of person signing Signature Date			
Total number of pages including cover sheet, attachments, and document: 3			

International Application No. : PCT/EP2004/010328

Attorney Docket No. : 016906-0483

and/or further identified as: U.S. Serial No. : _____

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

*name and
address of
assignee*

**BEHR FRANCE ROUFFACH SAS
5, avenue de la Gare
F-68250 Rouffach, France**

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to this invention relating to

title of invention

**HEATING ASSEMBLY COMPRISING A PTC ELEMENT, IN PARTICULAR
FOR A MOTOR VEHICLE**

as set forth in this International Application designating the United States and/or this United States Patent Application as a National Stage application or otherwise claiming the benefit of the International Application

*Check at
least one*

- ☐ executed concurrently herewith
☐ executed on _____
☐ U.S. Serial No. _____ Filed _____
☒ International Application No. PCT/EP2004/010328 filed September 15, 2004

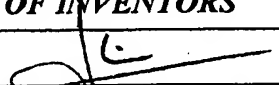

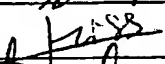
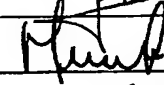
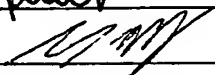

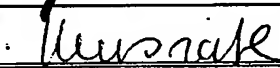
in and to said International Application designating the United States and/or United States Patent Application, including any and all divisions or continuations thereof, and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he or she has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he or she has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees he or she will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him or her relating to said invention or patent application and that he or she will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention;

Each of the undersigned hereby grants the firm of **FOLEY & LARDNER LLP** the power to insert in this Assignment any further information, such as an application number, which may be necessary or desirable to further identify the application in compliance with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

NAMES AND SIGNATURES OF INVENTORS		
Name: Michel BRUN	Signature: 	Date: 23.05.06
Name: Christophe SCHMITTHEISLER	Signature: 	Date: 23.05.06
Name: Pascal MISS	Signature: 	Date: 23.05.06
Name: Maxime MUNDEL	Signature: 	Date: 25.05.06
Name: Mathieu MOUGEY	Signature: 	Date: 23.05.06
NAMES AND SIGNATURES OF WITNESSES		
Name: Jan NESTLER	Signature: 	Date: 2006/06/20
Name: Gisela REUSRATH	Signature: 	Date: 2006/06/20

Note: *Prima facie* evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.

APPENDIX B



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 29, 2005

PTAS

RICHARD L. SCHWAAB
FOLEY & LARDNER LLP
WASHINGTON HARBOUR
3000 K STREET, N.W., SUITE 500
WASHINGTON, D.C. 20007-5143

**CORRECTED
NOTICE**

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

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RECORDATION DATE: 02/03/2005

REEL/FRAME: 016228/0188
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
BRUN, MICHEL

DOC DATE: 11/05/2004

ASSIGNOR:
SCHMITTHEISLER, CHRISTOPHE

DOC DATE: 11/04/2004

ASSIGNOR:
MISS, PASCAL

DOC DATE: 11/04/2004

ASSIGNOR:
MUNDEL, MAXIME

DOC DATE: 11/05/2004

ASSIGNOR:
PAPI, YANNICK

DOC DATE: 11/05/2004

ASSIGNOR:
MOUGEY, MATHIEU

DOC DATE: 11/04/2004

016228/0188 PAGE 2

ASSIGNEE:

BEHR FRANCE S.A.R.L.
5, AVENUE DE LA GARE
68250 ROUFFACH, FRANCE

SERIAL NUMBER: 10959608

FILING DATE: 10/07/2004



PATENT NUMBER:

ISSUE DATE:

TITLE: PTC HEATING UNIT SUITABLE FOR USE IN MOTOR VEHICLES

DOROTHY WILLIAMS, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Atty. Dkt. No. 016906-0354

FORM PTO-1595 (modified) (Rev 6-93)		RI	02-08-2005	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	
				R SHEET	
		102936366			
To the Director of the United States Patent and Trademark Office: Please return the attached original documents or copies thereof.					
1. Name of conveying party(ies): Michel BRUN (11/05/04) Christophe SCHMITTHEISLER (11/04/04) Pascal MISS (11/04/04) Maxime MUNDEL (11/05/04) Yannick PAPI (11/05/04) Mathieu MOUGEY (11/04/04)			2. Name and address of receiving party(ies): BEHR FRANCE S.A.R.L. 5, Avenue de la Gare 68250 Rouffach, France		
Additional conveying party(ies) NO					
3. Nature of conveyance: ASSIGNMENT Execution Date: see above dates			Additional name(s) & address(es) attached? NO		
4. Application number(s) or patent number(s): If this is being filed together with a new application, the execution date of the application is: A. Patent Application Number(s): 10/959,608 B. Patent Number(s): Additional numbers attached? NO					
5. Name and address of party to whom correspondence concerning document should be mailed: Richard L. Schwaab FOLEY & LARDNER LLP Washington Harbour 3000 K Street, N.W., Suite 500 Washington, D.C. 20007-5143			6. Total number of applications/patents involved: 1 7. Total fee (37 C.F.R. § 3.41): \$40.00 X Check Enclosed Charge to deposit account 8. Deposit account number: 19-0741		
DO NOT USE THIS SPACE					
9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. The Commissioner is hereby authorized to charge any additional recordation fees which may be required in this matter to the above-identified deposit account.					
Richard L. Schwaab					FEB 03 2005
Name of person signing			Signature		Date
Total number of pages including cover sheet, attachments, and document: 3					

02/07/2005 ECDPER 00000131 10959608

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ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

name and address of assignee **BEHR FRANCE S.A.R.L.
5, Avenue de la Gare
68250 Rouffach, France**

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to this invention relating to

title of invention

PTC HEATING UNIT SUITABLE FOR USE IN MOTOR VEHICLES

as set forth in this United States Patent Application

check one

☐ executed concurrently herewith

☐ executed on _____

☒ Serial No. 10/959,608

Filed October 7, 2004



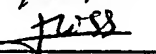


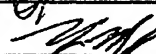


in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention;

Each of the undersigned hereby grants the firm of **FOLEY & LARDNER LLP** the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

NAMES AND SIGNATURES OF INVENTORS		
Name: Michel BRUN	Signature: 	Date: 5.11.04
Name: Christophe SCHMITTHEISLER	Signature: 	Date: 4/11/04
Name: Pascal MISS	Signature: 	Date: 08/11/04
Name: Maxime MUNDEL	Signature: 	Date: 05.11.04
Name: Yannick PAPI	Signature: 	Date: 05/11/04
Name: Mathieu MOUGEY	Signature: 	Date: 04.11.04
NAMES AND SIGNATURES OF WITNESSES		
Name: Dr. Andreas GRAUEL	Signature: 	Date: 11/10/2004
Name: Gisela REUSRATH	Signature: 	Date: 11/10/2004

Note: *Prima facie* evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.

TO: FOLEY & LARDNER LLP COMPANY: 3000 K STREET NW

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 14, 2009

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FOLEY & LARDNER LLP
3000 K STREET NW
SUITE 600
WASHINGTON, DC 20007UNITED STATES PATENT AND TRADEMARK OFFICE
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RECORDATION DATE: 07/14/2009

REEL/FRAME: 022951/0293

NUMBER OF PAGES: 4

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 016906-0354

ASSIGNOR:

BEHR FRANCE S.A.R.L.

DOC DATE: 12/01/2004

ASSIGNEE:

BEHR FRANCE ROUFFACH SAS
5, AVENUE DE LA GARE
ROUFFACH, FRANCE 68250

SERIAL NUMBER: 10959608

FILING DATE: 10/07/2004

PATENT NUMBER: 7399948

ISSUE DATE: 07/15/2008

TITLE: PTC HEATING UNIT SUITABLE FOR USE IN MOTOR VEHICLES.

USPTO

7/15/2009 6:48:32 AM

PAGE

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TO:FOLEY & LARDNER LLP COMPANY:3000 K STREET NW

022951/0293 PAGE 2

MARY BENTON, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

TO: FOLEY & LARDNER LLP COMPANY: 3000 K STREET NW

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.107/14/2009
500909971

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME
CONVEYING PARTY DATA	
Name	Execution Date
BEHR FRANCE S.A.R.L.	12/01/2004
RECEIVING PARTY DATA	
Name:	BEHR FRANCE ROUFFACH SAS
Street Address:	5, Avenue de la Gare
City:	Rouffach
State/Country:	FRANCE
Postal Code:	68250
PROPERTY NUMBERS Total: 1	
Property Type	Number
Patent Number:	7399948
CORRESPONDENCE DATA	
Fax Number:	(202)672-5399
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	2026725300
Email:	cverret@foley.com
Correspondent Name:	Foley & Lardner LLP
Address Line 1:	3000 K Street NW
Address Line 2:	Suite 600
Address Line 4:	Washington, DISTRICT OF COLUMBIA 20007
ATTORNEY DOCKET NUMBER:	016906-0354
NAME OF SUBMITTER:	Paul D. Strain
Total Attachments: 3 source=CommercialRegisterExtract#page1.tif source=CommercialRegisterExtract#page2.tif source=CommercialRegisterExtract#page3.tif	

OP \$40.00 7399948

Model K BIS

EXTRACT FROM THE COMMERCIAL AND COMPANIES REGISTER

PRINCIPAL ENTRY IN THE COMMERCIAL AND COMPANIES REGISTER DATED 06/10/1969

COMMERCIAL REGISTER No.

R.C.S. [C.C.R.] COLMAR TI [DISTRICT COURT] 916 920 697 MANAGEMENT No. 69 B 69

CORPORATE NAME OR TRADING NAME

BEHR FRANCE ROUFFACH

ACRONYM

NONE

COMMERCIAL NAME

NONE

FORM AND CAPITAL

Société par actions simplifiée [Simplified joint-stock company]
WITH CAPITAL OF EUR 30 000 000.00 (FIXED)

ADDRESS OF THE REGISTERED OFFICE

5, AV. DE LA GARE - 68250 ROUFFACH

MANAGEMENT OF THE COMPANY

- Chairman

USED NAME: MR. BAUMERT
FIRST NAME(S): Henry
8, RUE ALBERT SCHWEITZER - 67150 GERSTHEIM
FRENCH NATIONALITY
BORN ON 03/30/1949 AT ERSTEIN (67)

- Managing Director

USED NAME: MR. BAYER
FIRST NAME(S): Jürgen
STAUFENER STRASSE 3A D 79206 BREISACH (FRG)
GERMAN NATIONALITY
BORN ON 03/12/1954 AT NELLINGEN (FRG)

- Managing Director

PATRONYMIC NAME: MR. VERDEILLE
FIRST NAME(S): Nicolas, Pierre, Charles
15, RUE DESMONT DUPONT - 92700 COLOMBES
FRENCH NATIONALITY
BORN ON 03/12/1962 AT RODEZ (12)

- Regular Auditor

USED NAME: MR. HIRSCHY
FIRST NAME(S): J.j.
48, RUE DE LA REPUBLIQUE - 68040 INGERSHEIM
FRENCH NATIONALITY

- Alternate Auditor

USED NAME: MR. HIRSCHY
FIRST NAME(S): Christian
48, RUE DE LA REPUBLIQUE - 68040 INGERSHEIM
FRENCH NATIONALITY

ORIGIN OF BUSINESS
CREATION

ACTIVITY CARRIED ON

MANUFACTURE, MOUNTING, ASSEMBLY AND SALE OF MECHANICAL PARTS AND MORE
GENERALLY ANY FINANCIAL, COMMERCIAL OR INDUSTRIAL OPERATIONS AND OPERATIONS
RELATING TO MOVABLE OR IMMOVABLE PROPERTY

EMBLEM	NONE
ADDRESS OF THE PRINCIPAL PLACE OF BUSINESS 5, AV. DE LA GARE - 68250 ROUFFACH	
DATE OF COMMENCEMENT OF THE OPERATION	06/10/1969
FIRST NOTICE PUBLISHED IN THE B.O.D.A.C.C. [OFFICIAL BULLETIN OF CIVIL AND COMMERCIAL NOTICES]	NONE
FORMER PROPRIETOR/OPERATOR	NONE
TITLE AND DATE OF THE JOURNAL FOR LEGAL ANNOUNCEMENTS	NONE
ELECTION OF DOMICILE FOR OPPOSITIONS	NONE
CORPORATE PURPOSE THE CORPORATE PURPOSE NO LONGER HAS TO BE STATED IN THE REGISTRATION APPLICATION AND IS REPLACED BY THE PRINCIPAL ACTIVITIES OF THE UNDERTAKING. DECREE NO. 87-970 OF 12/03/87.	
TERM OF THE COMPANY 49 YEARS, FROM 06/10/1969 TO 06/09/2018	
DATE OF CLOSURE OF THE CORPORATE FINANCIAL PERIOD	12/31
DATE AND NO. OF FILING OF THE DOCUMENT AT THE REGISTRY 05/08/1969	
TITLE AND DATE OF THE JOURNAL FOR LEGAL ANNOUNCEMENTS PETITES AFFICHES DU HAUT RHIN, 05/04/1969	
METHOD OF OPERATION OF THE BUSINESS DIRECT OPERATION	
ANNEXES --- AMENDMENT OF 03/02/2005 NO. 0-894 Other amendment CONVERSION OF THE LIMITED LIABILITY COMPANY INTO A SIMPLIFIED JOINT-STOCK COMPANY APPOINTMENT OF THE CHAIRMAN: MR. HENRY BAUMERT (FORMER POSITION: MANAGER) APPOINTMENT OF MANAGING DIRECTOR: MR. NICOLAS VERDEILLE CHANGE OF CORPORATE NAME: * FORMER: BEHR FRANCE * NEW: BEHR FRANCE ROUFFACH EFFECTIVE DATE: 12/01/2004	
OBSERVATIONS	NONE
OTHER PLACES OF BUSINESS WITHIN THE JURISDICTION	NONE
SECONDARY REGISTRATIONS COMMERCIAL AND COMPANIES REGISTER OF NANTERRE 2004 B 7138 - ENTERED ON 12/22/2004	

=====

EXTRACT (CONTINUATION)
COMMERCIAL AND COMPANIES
REGISTER COLMAR
DISTRICT COURT 916 920 697
MANAGEMENT No. 69 B 69

03/02/2005 - PAGE No.

3/3

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END OF THE EXTRACT COMPRISING 3 PAGES
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ANY AMENDMENT OR FALSIFICATION OF THE PRESENT EXTRACT RENDERS THE PERPETRATOR
LIABLE TO PROSECUTION. ONLY THE REGISTRAR IS LEGALLY EMPOWERED TO ISSUE SIGNED
ORIGINAL EXTRACTS. ANY REPRODUCTION OF THE PRESENT EXTRACT, EVEN CERTIFIED AS A TRUE
COPY, IS WITHOUT VALUE.

=====

CERTIFIED TRUE COPY ISSUED ON

03/02/2005

THE REGISTRAR:

[signature and stamp]

TO:FOLEY & LARDNER LLP COMPANY:3000 K STREET NW

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 22, 2009

500916144

PTAS

FOLEY & LARDNER LLP
3000 K STREET NW
SUITE 600
WASHINGTON, DC 20007UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/22/2009

REEL/FRAME: 022980/0776
NUMBER OF PAGES: 9

BRIEF: CORRECTIVE ASSIGNMENT TO CORRECT THE ASSIGNMENT DOCUMENT BY INCLUDING A COPY OF THE ORIGINAL FRENCH COMMERCIAL REGISTER. PREVIOUSLY RECORDED ON REEL 022951 FRAME 0293. ASSIGNOR(S) HEREBY CONFIRMS THE NAME CHANGE TO BEHR FRANCE ROUFFACH SAS.
DOCKET NUMBER: 016906-0354

ASSIGNOR:

BEHR FRANCE S.A.R.L.

DOC DATE: 12/01/2004

ASSIGNEE:

BEHR FRANCE ROUFFACH SAS
5, AVENUE DE LA GARE
ROUFFACH, FRANCE 68250

SERIAL NUMBER: 10959608

FILING DATE: 10/07/2004

PATENT NUMBER: 7399948

ISSUE DATE: 07/15/2008

TITLE: PTC HEATING UNIT SUITABLE FOR USE IN MOTOR VEHICLES

TO:FOLEY & LARDNER LLP COMPANY:3000 K STREET NW

022980/0776 PAGE 2

ALLYSON PURNELL, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

07/22/2009
500916144

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT										
NATURE OF CONVEYANCE:	Corrective Assignment to correct the Assignment document by including a copy of the original French commercial register, previously recorded on Reel 022951 Frame 0293. Assignor(s) hereby confirms the Name Change to BEHR FRANCE ROUFFACH SAS.										
CONVEYING PARTY DATA											
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>BEHR FRANCE S.A.R.L.</td><td>12/01/2004</td></tr></tbody></table>	Name	Execution Date	BEHR FRANCE S.A.R.L.	12/01/2004							
Name	Execution Date										
BEHR FRANCE S.A.R.L.	12/01/2004										
RECEIVING PARTY DATA											
<table border="1"><tr><td>Name:</td><td>BEHR FRANCE ROUFFACH SAS</td></tr><tr><td>Street Address:</td><td>5, Avenue de la gare</td></tr><tr><td>City:</td><td>Rouffach</td></tr><tr><td>State/Country:</td><td>FRANCE</td></tr><tr><td>Postal Code:</td><td>68250</td></tr></table>	Name:	BEHR FRANCE ROUFFACH SAS	Street Address:	5, Avenue de la gare	City:	Rouffach	State/Country:	FRANCE	Postal Code:	68250	
Name:	BEHR FRANCE ROUFFACH SAS										
Street Address:	5, Avenue de la gare										
City:	Rouffach										
State/Country:	FRANCE										
Postal Code:	68250										
PROPERTY NUMBERS Total: 1											
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Patent Number:</td><td>7399948</td></tr></tbody></table>	Property Type	Number	Patent Number:	7399948							
Property Type	Number										
Patent Number:	7399948										
CORRESPONDENCE DATA											
Fax Number: (202)672-5399 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>											
Phone: 2026725300											
Email: cverret@foley.com											
Correspondent Name: Foley & Lardner LLP											
Address Line 1: 3000 K Street NW											
Address Line 2: Suite 600											
Address Line 4: Washington, DISTRICT OF COLUMBIA 20007											
ATTORNEY DOCKET NUMBER:	016906-0354										
NAME OF SUBMITTER:	Paul D. Strain										
Total Attachments: 9											

OP \$40.00 7399948

TO: FOLEY & LARDNER LLP COMPANY: 3000 K STREET NW

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source=NoticeOfRecordation07222009#page9.tif

GREFFE
DU
TRIBUNAL D'INSTANCE
DE COLMAR (68-51)

02/03/2008

FOLIO No

1 / 3

Modèle K BIS

EXTRAIT DU REGISTRE DU COMMERCE ET DES SOCIÉTÉS

IMMATRICULATION PRINCIPALE AU R.C.S. EN DATE DU 10/06/1969

No DE REGISTRE DU COMMERCE

R.C.S. COLMAR TI 916 920 697 No de GESTION 69 B 69

RAISON SOCIALE OU DENOMINATION

BEHR FRANCE ROUFFACH

SIGLE

NEANT

NOM COMMERCIAL

NEANT

FORME ET CAPITAL

Société par actions simplifiée

AU CAPITAL DE 30 000 000.00 EUR (FIXE)

ADRESSE DU SIEGE SOCIAL

5, AV. DE LA GARE - 68250 ROUFFACH

ADMINISTRATION DE LA SOCIÉTÉ

—Président

NOM D'USAGE : M. BAUMERT

PRENOM(S) : Henry

8, RUE ALBERT SCHWEITZER - 67150 GERSTHEIM

NATIONALITE FRANCAISE

NE(E) LE 30/03/1949 A ERSTEIN (67)

—Directeur général

NOM D'USAGE : M. BAYER

PRENOM(S) : Jürgen

STAUFENER STRASSE 3A D 79206 BREISACH (RFA)

NATIONALITE ALLEMANDE

NE(E) LE 12/03/1954 A NELLINGEN (RFA)

—Directeur général

NOM PATRONYMIQUE : M. VERDEILLE

PRENOM(S) : Nicolas, Pierre, Charles

15, RUE DESMONT DUPONT - 92700 COLOMBES

NATIONALITE FRANCAISE

NE(E) LE 12/03/1962 A RODEZ (12)

—Commissaire aux comptes titulaire

NOM D'USAGE : M. HIRSCHY

PRENOM(S) : J.J.

48, RUE DE LA REPUBLIQUE - 68040 INGERSHEIM

NATIONALITE FRANCAISE

---Commissaire aux comptes suppléant

NOM D'USAGE : M. HIRSCHY

PRENOM(S) : Christian

48, RUE DE LA REPUBLIQUE - 68040 INGERSHEIM

NATIONALITE FRANCAISE

ORIGINE DU FONDS

CREATION

ACTIVITE EXERCEE

FABRICATION, MONTAGE, ASSEMBLAGE ET NEGOCE DE PIECES MECANQUES ET PLUS GENERALEMENT TOUTES OPERATIONS FINANCIERES, COMMERCIALES, INDUSTRIELLES MOBILIERES ET IMMOBILIERES

EXTRAIT (SUITE)

02/03/2005

- FOLIO No

2/ 3

R.C.S. COLMAR T1916 920 697 No de GESTION 69 B 69

ENSEIGNE

NEANT

ADRESSE DU PRINCIPAL ETABLISSEMENT
5, AV. DE LA GARE - 68250 ROUFFACH

DATE DE COMMENCEMENT DE L'EXPLOITATION

10/06/1969

PREMIER AVIS PUBLIE AU B.O.D.A.C.C.

NEANT

PROPRIETAIRE - EXPLOITANT PRECEDENT

NEANT

TITRE ET DATE DU JOURNAL D'ANNONCES LEGALES

NEANT

ELECTION DE DOMICILE POUR LES OPPOSITIONS

NEANT

OBJET SOCIAL

L'OBJET SOCIAL N'A PLUS A ETRE DECLARE DANS LA DEMANDE D'IMMATRICULATION ET EST REMPLACE PAR LES ACTIVITES
PRINCIPALES DE L'ENTREPRISE, DECRET NO 87-970 DU 03/12/87.

DUREE DE LA SOCIETE

49 ANS DU 10/06/1969 AU 09/06/2018

DATE DE CLOTURE DE L'EXERCICE SOCIAL

31/12

DATE ET NO DE DEPOI DE L'ACTE AU GREFFE

LE 08/05/1969

TITRE ET DATE DU JOURNAL D'ANNONCES LEGALES

PETITES AFFICHES DU HAUT RHIN, LE 04/05/1969

MODE D'EXPLOITATION DU FONDS

EXPLOITATION DIRECTE

ANNEXES

MODIFICATIVE DU 02/03/2005 NO 0-894

Modification autre

TRANSFORMATION DE LA SARL EN SOCIETE PAR ACTIONS SIMPLIFIEE

NOMINATION DU PRESIDENT : M. HENRY BAUMERT (ANCIENNE QUALITE : GERANT)

NOMINATION DIRECTEUR GENERAL : MR NICOLAS VERDEILLE

CHANGEMENT DE DENOMINATION SOCIALE :

* ANCIENNE : BEHR FRANCE

* NOUVELLE : BEHR FRANCE ROUFFACH

DATE D'EFFET : 01/12/2004

OBSERVATIONS

NEANT

AUTRES ETABLISSEMENTS DANS LE RESSORT

NEANT

IMMATRICULATIONS SECONDAIRES

R.C.S. NANTERRE 2004 B 7138 - INSCRIPTION LE 22/12/2004

EXTRAIT (SUITE)

02/03/2005

- FOLIO No

3/ 3

R.C.S. COLMAR TI 916 920 097 No de GESTION 69 B 69

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FIN DE L'EXTRAIT COMPRENANT 3 PAGES

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TOUTE MODIFICATION OU FALSIFICATION DU PRESENT EXTRAIT EXPOSE A DES POURSUITES PENALES. SEUL LE GREFFIER EST
LEGALEMENT HABILITE A DELIVRER DES EXTRAITS SIGNES EN ORIGINAL. TOUTE REPRODUCTION DU PRESENT EXTRAIT, MEME
CERTIFIEE CONFORME, EST SANS VALEUR.

=====

POUR EXTRAIT CERTIFIE CONFORME ET DELIVRE LE

02/03/2005

LE GREFFIER:

A handwritten signature is written over a circular stamp. The stamp contains the text "TRIBUNAL DE COMMERCE" and "COLMAR".

Model K BIS

EXTRACT FROM THE COMMERCIAL AND COMPANIES REGISTER

PRINCIPAL ENTRY IN THE COMMERCIAL AND COMPANIES REGISTER DATED 06/10/1969

COMMERCIAL REGISTER No.

R.C.S. [C.C.R.] COLMAR TI [DISTRICT COURT] 916 920 697 MANAGEMENT No. 69 B 69

CORPORATE NAME OR TRADING NAME

BEHR FRANCE ROUFFACH

ACRONYM

NONE

COMMERCIAL NAME

NONE

FORM AND CAPITAL

Société par actions simplifiée [Simplified joint-stock company]
WITH CAPITAL OF EUR 30 000 000.00 (FIXED)

ADDRESS OF THE REGISTERED OFFICE

5, AV. DE LA GARE - 68250 ROUFFACH

MANAGEMENT OF THE COMPANY

- Chairman

USED NAME: MR. BAUMERT
FIRST NAME(S): Henry
8, RUE ALBERT SCHWEITZER - 67150 GERSTHEIM
FRENCH NATIONALITY
BORN ON 03/30/1949 AT ERSTEIN (67)

- Managing Director

USED NAME: MR. BAYER
FIRST NAME(S): Jürgen
STAUFENER STRASSE 3A D 79206 BREISACH (FRG)
GERMAN NATIONALITY
BORN ON 03/12/1954 AT NELLINGEN (FRG)

- Managing Director

PATRONYMIC NAME: MR. VERDEILLE
FIRST NAME(S): Nicolas, Pierre, Charles
15, RUE DESMONT DUPONT - 92700 COLOMBES
FRENCH NATIONALITY
BORN ON 03/12/1962 AT RODEZ (12)

- Regular Auditor

USED NAME: MR. HIRSCHY
FIRST NAME(S): J.j.
48, RUE DE LA REPUBLIQUE - 68040 INGERSHEIM
FRENCH NATIONALITY

- Alternate Auditor

USED NAME: MR. HIRSCHY
FIRST NAME(S): Christian
48, RUE DE LA REPUBLIQUE - 68040 INGERSHEIM
FRENCH NATIONALITY

ORIGIN OF BUSINESS

CREATION

ACTIVITY CARRIED ON

MANUFACTURE, MOUNTING, ASSEMBLY AND SALE OF MECHANICAL PARTS AND MORE
GENERALLY ANY FINANCIAL, COMMERCIAL OR INDUSTRIAL OPERATIONS AND OPERATIONS
RELATING TO MOVABLE OR IMMOVABLE PROPERTY

EMBLEM

NONE

ADDRESS OF THE PRINCIPAL PLACE OF BUSINESS
5, AV. DE LA GARE - 68250 ROUFFACH

DATE OF COMMENCEMENT OF THE OPERATION

06/10/1969

FIRST NOTICE PUBLISHED IN THE B.O.D.A.C.C.
[OFFICIAL BULLETIN OF CIVIL AND COMMERCIAL NOTICES]

NONE

FORMER PROPRIETOR/OPERATOR

NONE

TITLE AND DATE OF THE JOURNAL FOR LEGAL ANNOUNCEMENTS

NONE

ELECTION OF DOMICILE FOR OPPOSITIONS

NONE

CORPORATE PURPOSE

THE CORPORATE PURPOSE NO LONGER HAS TO BE STATED IN THE REGISTRATION
APPLICATION AND IS REPLACED BY THE PRINCIPAL ACTIVITIES OF THE UNDERTAKING.
DECREE NO. 87-970 OF 12/03/87.

TERM OF THE COMPANY

49 YEARS, FROM 06/10/1969 TO 06/09/2018

DATE OF CLOSURE OF THE CORPORATE FINANCIAL PERIOD

12/31

DATE AND NO. OF FILING OF THE DOCUMENT AT THE REGISTRY
05/08/1969

TITLE AND DATE OF THE JOURNAL FOR LEGAL ANNOUNCEMENTS
PETITES AFFICHES DU HAUT RHIN, 05/04/1969

METHOD OF OPERATION OF THE BUSINESS
DIRECT OPERATION

ANNEXES

--- AMENDMENT OF 03/02/2005 NO. 0-894

Other amendment

CONVERSION OF THE LIMITED LIABILITY COMPANY INTO A SIMPLIFIED JOINT-STOCK
COMPANY

APPOINTMENT OF THE CHAIRMAN: MR. HENRY BAUMERT (FORMER POSITION: MANAGER)

APPOINTMENT OF MANAGING DIRECTOR: MR. NICOLAS VERDEILLE

CHANGE OF CORPORATE NAME:

* FORMER: BEHR FRANCE

* NEW: BEHR FRANCE ROUFFACH

EFFECTIVE DATE: 12/01/2004

OBSERVATIONS

NONE

OTHER PLACES OF BUSINESS WITHIN THE JURISDICTION

NONE

SECONDARY REGISTRATIONS

COMMERCIAL AND COMPANIES REGISTER OF NANTERRE 2004 B 7138 - ENTERED ON
12/22/2004

EXTRACT (CONTINUATION)
COMMERCIAL AND COMPANIES
REGISTER COLMAR
DISTRICT COURT 916 920 697
MANAGEMENT No. 69 B 69

03/02/2005 - PAGE No.

3/3

=====

END OF THE EXTRACT COMPRISING 3 PAGES
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COPY, IS WITHOUT VALUE.

=====

CERTIFIED TRUE COPY ISSUED ON

03/02/2005

THE REGISTRAR:

[signature and stamp]